

12/17/01

J1131 U.S. PTO

UTILITY
PATENT APPLICATION
TRANSMITTAL

(for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 217494US8

First Inventor or Application Identifier Sadayoshi KANEMARU, et al.

Title SEMICONDUCTOR LASER ELEMENT, SEMICONDUCTOR LASER MODULE,
FABRICATION METHOD THEREOF AND AMPLIFIER FOR OPTICAL FIBER

Assignee Name: THE FURUKAWA ELECTRIC CO., LTD.

Assignee Address: 2-6-1, Marunouchi, Chiyoda-ku, Tokyo 100-8322 Japan

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 2023110/015627
10/015627
12/17/01

- 1.
- ☒
- Fee Transmittal Form (e.g. PTO/SB/17)
-
- (Submit an original and a duplicate for fee processing)

- 2.
- ☒
- Specification Total Sheets 36

- 3.
- ☒
- Drawing(s) (35 U.S.C. 113) Total Sheets 18

- 4.
- ☐
- Oath or Declaration Total Pages

- a.
- ☐
- Newly executed (original or copy)

- b.
- ☐
- Copy from a prior application (37 C.F.R. §1.63(d))
-
- (for continuation/divisional with box 17 completed)

- i.
- ☐
- DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in
the prior application, see 37 C.F.R. §1.63(d)(2) and
1.33(b).

- 5.
- ☐
- CD-ROM or CD-R in duplicate, large table or Computer
-
- Program (Appendix)

- 6.
- ☐
- Nucleotide and/or Amino Acid Sequence Submission
-
- (if applicable, all necessary)

- a.
- ☐
- Computer Readable Form (CRF)

- b. Specification or Sequence Listing on:

- i.
- ☐
- CD-ROM or CD-R (2 copies); or

- ii.
- ☐
- Paper

- c.
- ☐
- Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 7.
- ☐
- Assignment Papers (cover sheet & document)

- 8.
- ☒
- Application Data Sheet. See 37 CFR 1.76

- 9.
- ☐
- 37 C.F.R. §3.73(b) Statement (when there is an assignee)
- ☐
- Power of Attorney

- 10.
- ☐
- English Translation Document (if applicable)

- 11.
- ☐
- Information Disclosure Statement (IDS)/PTO-1449
- ☐
- Copies of IDS Citations

- 12.
- ☐
- Preliminary Amendment

- 13.
- ☒
- White Advance Serial No. Postcard

- 14.
- ☐
- Certified Copy of Priority Document(s)
-
- (if foreign priority is claimed)

- 15.
- ☐
- Applicant claims small entity status.
-
- See 37 CFR 1.27

- 16.
- ☒
- Other: Statement Re: Filing in Foreign Language

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

18. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. Filed on☐ Which was published in English☐ Which was not published in English☐ This application claims priority of provisional application Serial No. Filed

19. CORRESPONDENCE ADDRESS



22850

(703) 413-3000

FACSIMILE: (703) 413-2220

Name:	Bradley D. Lytle	Registration No.:	40,073
Signature:		Date:	12/17/01
Name:	C. Irvin McClelland	Registration No.:	

Registration Number 21,124

Docket No. 217494US8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Sadayoshi KANEMARU, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: SEMICONDUCTOR LASER ELEMENT, SEMICONDUCTOR LASER MODULE, FABRICATION METHOD THEREOF AND AMPLIFIER FOR OPTICAL FIBER

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	25 - 20 =	5	× \$18 =	\$90.00
INDEPENDENT CLAIMS	4 - 3 =	1	× \$84 =	\$84.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$280 =	\$0.00
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$130.00
			BASIC FEE	\$740.00
			TOTAL OF ABOVE CALCULATIONS	\$1,044.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input checked="" type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$130.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
			TOTAL	\$1,174.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of \$0.00 A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$1,174.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Date: 12/17/01

Bradley D. Lytle

Registration No. 40,073

C. Irvin McClelland
Registration Number 21,124



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/01)